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PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	Patent No.: 6,856,092
	Filing Date	Issued: 02/15/2005
	First Named Inventor	Floyd R. Pothoven
	Art Unit	2833
	Examiner Name	Leon, Edwin A.
Total Number of Pages in This Submission	Attorney Docket Number	508-053.3-1 (27376-3)

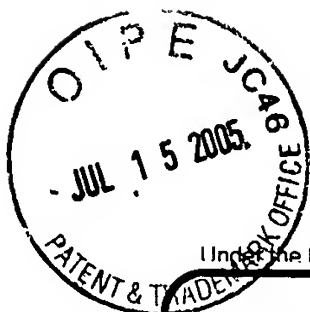
ENCLOSURES (Check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input checked="" type="checkbox"/> Petition -Correction of Inventorship	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	Request for Certificate of Correction
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s) _____	Return Receipt Postcard
	<input type="checkbox"/> Landscape Table on CD	
<input type="checkbox"/> Certified Copy of Priority Document(s)	Remarks	
<input type="checkbox"/> Reply to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm Name	Luce, Forward, Hamilton & Scripps LLP		
Signature			
Printed name	Mitchell P. Brook		
Date	7/13/05	Reg. No.	32,967

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:			
Signature			
Typed or printed name	Leigh A. Coleman	Date	July 13, 2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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PTO/SB/17 (12-04v2)

Approved for use through 07/31/2006. OMB-0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Effective on 12/08/2004.

Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

# FEE TRANSMITTAL

## For FY 2005

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 230.00

**Complete if Known**

Application Number	Patent No.: 6,856,092
Filing Date	Issued: 02/15/2005
First Named Inventor	Floyd R. Pothoven
Examiner Name	Leon, Edwin A.
Art Unit	2833
Attorney Docket No.	508.053.3-1 (27376-3)

**METHOD OF PAYMENT** (check all that apply)☒ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): \_\_\_\_\_☐ Deposit Account Deposit Account Number: \_\_\_\_\_ Deposit Account Name: \_\_\_\_\_

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee  
☐ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☐ Credit any overpayments**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**FEE CALCULATION****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

**2. EXCESS CLAIM FEES**Fee Description

	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 (including Reissues)	50	25
Each independent claim over 3 (including Reissues)	200	100
Multiple dependent claims	360	180

Total Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
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\_\_\_\_\_ - 20 or HP = \_\_\_\_\_ x \_\_\_\_\_ = \_\_\_\_\_

HP = highest number of total claims paid for, if greater than 20.

Indep. Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
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\_\_\_\_\_ - 3 or HP = \_\_\_\_\_ x \_\_\_\_\_ = \_\_\_\_\_

HP = highest number of independent claims paid for, if greater than 3.

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
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\_\_\_\_\_ - 100 = \_\_\_\_\_ / 50 = \_\_\_\_\_ (round up to a whole number) x \_\_\_\_\_ = \_\_\_\_\_

**4. OTHER FEE(S)**

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): Petition and request for Certificate of Correction fee 230.00

**SUBMITTED BY**

Signature		Registration No. (Attorney/Agent) 32,967	Telephone (858) 720-6335
Name (Print/Type)	Mitchell P. Brook		Date 7/13/05

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



508-053.3-1  
(27376-3)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Floyd R. Pothoven et al.  
Patent No. : 6,856,092  
Issued : February 15, 2005  
For : ELECTRODELESS LAMP  
Group Art Unit : 2833  
Examiner : Leon, Edwin A

Mail Stop Petition  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

PETITION TO CORRECT INVENTORSHIP  
UNDER 37 C.F.R. §1.324

Sir:

Pursuant to 37 C.F.R. §1.324 assignee Ceravision Limited hereby petitions to amend the above-identified patent to correct the inventorship by adding Don Wilson and Charles Guthrie as named inventors.

Assignee encloses the following documents in support of this Petition:

1. A statement by Don Wilson pursuant to 37 C.F.R. §1.324 in support of this Petition, which states that the error in inventorship occurred without deceptive intention on his part;

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2. A statement by Charles Guthrie pursuant to 37 C.F.R. §1.324 in support of this Petition, which states that the error in inventorship occurred without deceptive intention on his part;

3. A statement by Floyd R. Pothoven pursuant to 37 C.F.R. §1.324 in support of this Petition, which states that he agrees with the change of inventorship;

4. A statement by Terry A. Pothoven pursuant to 37 C.F.R. §1.324 in support of this Petition, which states that he agrees with the change of inventorship;

5. The written consent of Ceravision Limited, the assignee of this application;

6. Statement under 37 CFR §3.73(b); and

7. The processing fee set for the in 37 C.F.R. §1.20 (b) in the amount of \$130.00 for which a check is enclosed.

Respectfully submitted,

07/06/05  
Date

T. Reynolds  
Tim Reynolds  
Managing Director of Ceravision Limited  
Assignee of record of the  
entire interest (Statement  
under 37 CFR 3.73(b) is  
enclosed)  
Tel No.: 44-1234-751771



508-053.3-1  
(27376-3)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Floyd R. Pothoven et al.  
Patent No. : 6,856,092  
Issued : February 15, 2005  
For : ELECTRODELESS LAMP  
Group Art Unit : 2833  
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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

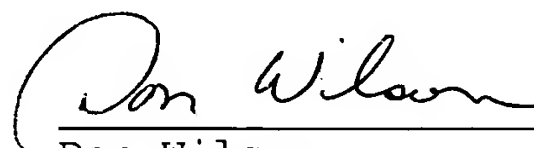
STATEMENT IN SUPPORT OF PETITION TO CORRECT INVENTORSHIP  
UNDER 37 C.F.R. §1.324

Sir:

I, Don Wilson, state an error in inventorship occurred without deceptive intention on my part and hereby request to be added as a co-inventor in the above-identified patent.

Respectfully submitted,

Date 5-9-05

  
Don Wilson



508-053.3-1  
(27376-3)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Floyd R. Pothoven et al.  
Patent No. : 6,856,092  
Issued : February 15, 2005  
For : ELECTRODELESS LAMP  
Group Art Unit : 2833  
Examiner : Leon, Edwin A

Mail Stop Petition  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

STATEMENT IN SUPPORT OF PETITION TO CORRECT INVENTORSHIP  
UNDER 37 C.F.R. §1.324

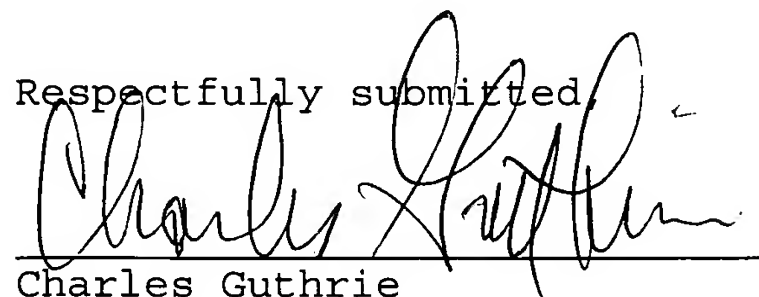
Sir:

I, Charles Guthrie, state an error in inventorship occurred without deceptive intention on my part and hereby request to be added as a co-inventor in the above-identified patent.

Date

05/06/05

Respectfully submitted,

  
Charles Guthrie



508-053.3-1  
(27376-3)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Floyd R. Pothoven et al.  
Patent No. : 6,856,092  
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For : ELECTRODELESS LAMP  
Group Art Unit : 2833  
Examiner : Leon, Edwin A

Mail Stop Petition  
Commissioner for Patents  
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STATEMENT IN SUPPORT OF PETITION TO CORRECT INVENTORSHIP  
UNDER 37 C.F.R. §1.324

Sir:

I, Floyd R. Pothoven, agree to the change of inventorship which adds Don Wilson and Charles Guthrie as co-inventors in the above-identified patent.

Respectfully submitted,

Date 5/8/05

  
Floyd R. Pothoven



508-053.3-1  
(27376-3)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Floyd R. Pothoven et al.  
Patent No. : 6,856,092  
Issued : February 15, 2005  
For : ELECTRODELESS LAMP  
Group Art Unit : 2833  
Examiner : Leon, Edwin A

Mail Stop Petition  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

STATEMENT IN SUPPORT OF PETITION TO CORRECT INVENTORSHIP  
UNDER 37 C.F.R. §1.324

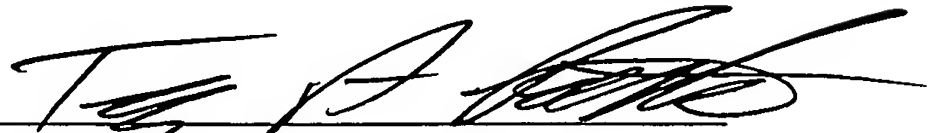
Sir:

I, Terry A. Pothoven, agree to the change of inventorship which adds Don Wilson and Charles Guthrie as co-inventors in the above-identified patent.

Respectfully submitted,

Date

5/8/05

  
Terry A. Pothoven



508-053.3-1  
(27376-3)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Floyd R. Pothoven et al.  
Patent No. : 6,856,092  
Issued : February 15, 2005  
For : ELECTRODELESS LAMP  
Group Art Unit : 2833  
Examiner : Leon, Edwin A

Mail Stop Petition  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

CONSENT OF ASSIGNEE TO CORRECT INVENTORSHIP  
UNDER 37 C.F.R. §1.324

Sir:

Ceravision Limited, assignee of the above-identified patent, hereby consents to correct the inventorship of the patent by adding Don Wilson and Charles Guthrie as inventors in addition to the original inventive entity of Floyd R. Pothoven and Terry A. Pothoven.

Respectfully submitted,

Date 7/5/05

T. Reynolds  
Tim Reynolds  
Managing Director of Ceravision Limited



**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Floyd R. Pothoven et al.

Application No./Patent No.: 6,856,092 Filed/Issue Date: February 15, 2005

Entitled:

Ceravision Limited, a corporation  
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or  
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by percentage) of its ownership interest is \_\_\_\_\_ %

in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 014218, Frame 0218, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

T. Reynolds  
Signature

Tim Reynolds  
Printed or Typed Name

Managing Director of Ceravision Limited  
Title

4/22/05  
Date

44-1234-751771  
Telephone Number

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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